Engage Arlington:

A SIX-STEP
PUBLIC ENGAGEMENT GUIDE
This guide was developed to advance public engagement practice across Arlington County government.

Building on both the Participation, Leadership and Civic Engagement (PLACE) initiative and the Community Facilities Study, this guide integrates more recent feedback received from both internal and external stakeholders regarding opportunities to improve the public engagement for Arlington County Government (ACG) capital projects.

The levels of engagement framework was influenced by engagement concepts produced by the International Association of Public Participation, available at www.iap2.org.

The guide was prepared by Arlington County’s Office of Communications and Public Engagement, with input from both both internal and external stakeholders.

topics.arlingtonva.us/engage

### What can be found in this guide?

<table>
<thead>
<tr>
<th>Topic</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>About</td>
<td>2</td>
</tr>
<tr>
<td>Principles of Civic Engagement</td>
<td>4</td>
</tr>
<tr>
<td>Six-Step Approach to Public Engagement</td>
<td>5</td>
</tr>
<tr>
<td>Clearly Define the Project</td>
<td>6</td>
</tr>
<tr>
<td>Identify Project Stakeholders</td>
<td>9</td>
</tr>
<tr>
<td>Determine Level of Engagement</td>
<td>10</td>
</tr>
<tr>
<td>Create and Implement Engagement &amp; Communication Strategies</td>
<td>13</td>
</tr>
<tr>
<td>Conduct Analysis for Decision Makers</td>
<td>15</td>
</tr>
<tr>
<td>Complete Project Closeout &amp; After Action Review</td>
<td>15</td>
</tr>
<tr>
<td>Appendix: Engagement Worksheets</td>
<td>16</td>
</tr>
</tbody>
</table>
why does Arlington County have a public engagement guide?

Arlington County is a dynamic environment – a place where people are passionate about their community and committed to enhancing its policies and programs. With a community of 220,000 residents and an equal number of people who work here every day, our government is constantly planning for our future and advancing hundreds of projects.

Whether we’re letting our residents know about plans to create a new park, looking for feedback on a transportation project or new facility, or seeking input on a policy update… we want to hear from our community. This guide is our commitment to meaningful engagement and provides the framework for successful engagement. It provides a range of techniques to engage the community in the activities and decision-making processes of the County.

how do we use this guide?

This is a guide to help Arlington County staff or contractors in developing or completing County projects. With more than 60 formal commissions and advisory boards, residents and business representatives also work side by side with the County Board and County staff as projects and policies are developed and implemented.

This guide will help project teams determine the appropriate level of public engagement and communication, map appropriate strategies, and share information with stakeholders along the way.

should you use this guide?

Arlington completes hundreds of projects -- small and large -- in any given year. Recognizing that there is no one-size-fits-all approach, this guide is intended to help align the level of public engagement based on characteristics, benefits and potential impacts of each project.

Not every project will have a 6-step engagement process. While all County projects are important, there will always be some routine tasks that the County will complete at its discretion while practicing an efficient use of time and resources.

where can I learn more?

For public engagers, these ready-to-use resources are available:

Public Engagement Worksheets - editable sheets that walk you through each step of engagement planning to help you prepare a strategy and plan for your project.

Public Engagement Summary - a one-page template that summarizes your strategy in a shareable, easy-to-understand format.

visit arlingtonva.us and search “engage”
Principles of Civic Engagement

These seven guiding principles are the foundation for the County’s engagement initiatives and strategies. Following the guiding principles is the most effective way to build trust and to demonstrate Arlington County Government’s commitment to the community.

- **Inclusion and mutual respect**: Ensure public notice and engagement is based on building trust and seeking to involve all stakeholders and range of perspectives, without predetermined outcomes.

- **Early involvement and timely communication**: Communicate as early as possible in the engagement process, provide regular updates, and ensure timely information that supports stakeholder participation.

- **Transparent and accountable**: Share information and provide feedback about how community input was considered and/or integrated.

- **Clear and accessible communication**: Use plain language and a wide range of tools and techniques for communicating and engaging with stakeholders.

- **Open, two-way communication**: Work with stakeholders in a cooperative and collaborative way to share information and provide opportunities for constructive dialogue.

- **Fiscally sustainable**: Ensure methods and resources for public notice and engagement reflect the magnitude, complexity, and costs to the extent possible for each initiative.

- **Continuous improvement**: Evaluate and seek better ways of engaging the community and provide efficient and effective public notice and engagement processes.
The six-step approach for public engagement is an easy-to-use framework designed to help project teams articulate the project scope, identify impacted stakeholders, and communicate areas of opportunity for public input.

1. Clearly Define the Project
2. Identify Project Stakeholders
3. Determine Level of Engagement
4. Create & Implement Engagement & Communication Strategies
5. Conduct Analysis for Decision Makers
6. Complete Project Closeout & After Action Review

*These steps are a guide. As processes vary, not every engagement step may be possible.*
The first step includes foundational information for the project, including:

- **Project basics**
  - Name, location

- **Origin**
  - How project was initiated and any relationship to other County plans or policies

- **Project team**
  - Name, role, department, email

- **Engagement & Communication Plan Sign-off**
  - Identify who needs to review and sign-off on the completed plan. Include your communications team and, in some cases, senior leadership.

- **Description**
  - Purpose, objectives, major components

- **Project partners**
  - Other departments, Arlington Public Schools, outside agencies

- **Parameters**
  - Schedule, budgets, constraints

- **Project Lifecycle (see page 7)**
- **Project Context (see page 8)**

### STEP 1: Clearly Define the Project

| Project Name: Central Park Plan |
| Location: 100 Arlington Avenue (cross street: Virginia Road) |
| Origin: Identified in County Sector Plan, prioritized in 2018 CIP |

#### Project Team:

<table>
<thead>
<tr>
<th>Name</th>
<th>Role</th>
<th>Department</th>
<th>Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jane Smith</td>
<td>Project lead/planner</td>
<td>DPR</td>
<td></td>
</tr>
<tr>
<td>John Williams</td>
<td>Planning supervisor</td>
<td>DPR</td>
<td></td>
</tr>
<tr>
<td>Jennifer Johnson</td>
<td>Communications manager</td>
<td>DPC</td>
<td></td>
</tr>
<tr>
<td>James Jones</td>
<td>Transportation planner</td>
<td>DES</td>
<td></td>
</tr>
</tbody>
</table>

#### Description (purpose, objectives, major components, relationship to other plans)

- **Arlington Central Park** will be a real public green space with recreational amenities, passive uses, enhanced pedestrian connections and diverse landscaping. The purpose of the planning process will be to develop a park design based on broad community input.

#### Related Plans:
- Public Space Master Plan, County Sector Plan, General Land Use Plan, Stormwater Management Plan, Public Art Master Plan

#### Project Partners (e.g., other departments, Arlington Public Schools, other agencies or organizations)

- Dept. Parks and Recreation (Lead)
- Dept. Environmental Services
- Dept. Community Planning, Housing, & Development
- Arlington Public Art

#### Parameters (schedule, budget, constraints)

- $8.1 million in design and Phase I construction
- Design Development: 18 months
- Must secure future phase funding in next CIP cycle.

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**Connect with your communications team early for engagement support**

Your communications team should sign-off on engagement and communication plans. In some cases, senior leadership may also need to review and approve these plans.
1. Clearly Define the Project

**Project Lifecycle**
Determine what stages your project will have. Engagement and communication opportunities may occur at different points or stages in a project’s lifecycle.

Common project stages involve:
- Planning & visioning
- Approval
- Scoping
- Funding
- Design
- Construction

Large, complex projects often have different stages - with different engagement levels at those varying stages.

There is no one-size-fits-all project cycle for the multitude of County projects and processes. Each will have their own stages, opportunities and levels of engagement.

You will determine levels of engagement in Step 3.
**Project Context**

Consider the context of the project using these starter questions. Understanding the context of your project will help more thoroughly identify stakeholders and levels of engagement in the next steps.

<table>
<thead>
<tr>
<th>Project Context</th>
<th>Yes</th>
<th>To Some</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does this project relate to master, sector, corridor, Capital Improvement Plan (CIP) and/or other plans?</td>
<td></td>
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</tr>
<tr>
<td>Have members of the public had an opportunity to provide input into the project prior to this point in time?</td>
<td>✔</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Are there any potential benefits and/or concerns for nearby residents and/or businesses (e.g., health/safety, traffic, parking)?</td>
<td>✔</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>Are there any greater needs that this project fulfills?</td>
<td>✔</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>Is there any known community support for this project?</td>
<td></td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>Are there any known community concerns and/or opposition for this project?</td>
<td>✔</td>
<td>✔</td>
<td></td>
</tr>
</tbody>
</table>

**Six-Step Approach for Public Engagement**

**1. Clearly Define the Project**

(continued)
2 | Identify Project Stakeholders

Identify all community members, groups and organizations that will be impacted by or have an interest in your project. Consider what their differing views and perspectives may be. Be sure to consider the following:

- Commissions, Committees, Advisory Groups
- Civic Associations and Neighborhoods
- Condo/rental units and associations
- Adjacent neighbors
- Other Community Members, Non-Governmental Groups, Businesses
  - Impacted or interested community members who may not be in the immediate impact area
  - Non-governmental groups or NGOs (e.g. Washington Area Bicyclist Association, Arlingtonians for a Clean Environment, Arlington Partnership for Affordable Housing, etc.)
- Businesses, employers, employees, business improvement districts (BIDs)
- School and parent groups, local churches, religious group facilities
### Determine Level of Engagement

There are four levels of engagement for County projects: Inform, Consult, Involve and Collaborate*. The following indicators will help decide the appropriate engagement level for a project. More “yes” checks in a particular category, generally indicates that is the appropriate engagement level. If there’s a tie, use the higher engagement level.

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Indicators for Engagement Level</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td><strong>Inform</strong></td>
</tr>
<tr>
<td></td>
<td>Project <strong>would not</strong> interrupt service and/or traffic for an extended period of time (typically &lt; one month)</td>
</tr>
<tr>
<td></td>
<td>Residents and/or businesses <strong>would not</strong> be disrupted for an extended period (typically &lt; 6 months)</td>
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<tr>
<td></td>
<td>Project is a direct replacement of infrastructure, materials or other in the same location</td>
</tr>
<tr>
<td></td>
<td><strong>Inform &amp; Consult</strong></td>
</tr>
<tr>
<td></td>
<td>Project addresses a public health and/or safety concern</td>
</tr>
<tr>
<td></td>
<td>Project <strong>would not</strong> cause loss of or significant** change to facility, program or service to community</td>
</tr>
<tr>
<td></td>
<td>Project changes may be triggered by legislative, regulatory or policy requirements.</td>
</tr>
<tr>
<td></td>
<td><strong>Inform, Consult &amp; Involve</strong></td>
</tr>
<tr>
<td></td>
<td>Project included in approved County Plan (e.g., Master, Sector, Corridor, CIP)</td>
</tr>
<tr>
<td></td>
<td>Project <strong>would</strong> fundamentally change the size, capacity, and/or intensity of use of space, roadway, etc.</td>
</tr>
<tr>
<td></td>
<td>Project <strong>would</strong> cause loss of or significant** change to a facility/program/service for broader Arlington</td>
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<tr>
<td></td>
<td>Project could have significant** impacts on nearby residents and/or businesses (e.g., health/safety, traffic, parking, loss of mature trees, adverse construction impacts)</td>
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<tr>
<td></td>
<td>Strong community interest (support, concern, differing views, opposition) anticipated for project</td>
</tr>
<tr>
<td></td>
<td><strong>Inform, Consult, Involve &amp; Collaborate</strong></td>
</tr>
<tr>
<td></td>
<td>Project <strong>not</strong> a capital maintenance or operations project</td>
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<tr>
<td></td>
<td>Multiple commissions and/or advisory boards would typically provide input on this type of project</td>
</tr>
<tr>
<td></td>
<td>Project <strong>not</strong> included in a previously approved County Plan (e.g., Master, Sector, Corridor, CIP)</td>
</tr>
<tr>
<td></td>
<td>County Board/County Manager has provided high-level direction</td>
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* Levels of engagement are adapted from the IAP2 spectrum published by International Association of Public Participation; see www.iap2.org.

** Significance can be assessed, in part, by answering context questions in Step 1.
Six-Step Approach for Public Engagement

Determine Level of Engagement (continued)

While the extent of engagement increases each step from Inform to Collaborate, the number of projects inversely decreases, with the highest number of County projects falling into the Inform category and the least number of projects in the Collaborate category. Large, complex projects may have an overall engagement level, with different levels of engagement within stages.

**Inform**
We’ll keep you informed on project updates, changes, regulatory constraints and progress through the lifecycle of the project.

- Turf replacement (synthetic per 8-year replacement cycle or sod replacement for grass turf fields)
- Renovation of athletic courts or fields
- New fire hydrant installation
- Parks maintenance capital renovations with amenities in same general location
- Reconfiguration of roadways
- Capital maintenance projects where several amenities may potentially reorient or change location
- New location for athletic field lights or synthetic field conversions that implement policy from Board-adopted plans
- Design phases that implement Board-adopted plans
- Stream restoration
- Major transit facilities
- Major reconfiguration of roadways

**Inform & Consult**
We’ll keep you informed, listen to your views and provide feedback on how the input influenced the project and/or decisions.

- Trail or parking lot milling and repaving
- Restroom renovation
- Paving
- Water main and storm sewer line replacement
- Facility maintenance impacting operations/programs
- New LED installation in residential areas
- Replacement of athletic lights, playground, picnic shelter or trails

**Inform, Consult & Involve**
We’ll share how concerns/views were reflected in analyses and/or solutions and designs developed; we’ll share how inputs influenced the final decision or project.

- Emergency and Repair Work
  Many routine activities do not require engagement or communications plans. Examples include:
  - Water service line maintenance
  - Routine signage, including replacement
  - Routine restriping
  - Routine park maintenance
  - Streetlight and signal maintenance
  - Minor facility maintenance with no impact to programs or services
  - Concrete (sidewalk, curb, gutter, ADA ramp, catch basin) repair
  - Potheole and patching
  - Emergency or spot repairs (water, sewer or street)
  - Fire hydrant repair/replace
  - Valve exercising or repair

**Inform, Consult, Involve & Collaborate**
We will seek community input in partnership with commissions, advisory and stakeholder groups throughout the lifecycle of the project.

- Sector, area, corridor, park master plans
- Neighborhood conservation projects
- New public facilities

Levels of Engagement

<table>
<thead>
<tr>
<th>Inform</th>
<th>Inform &amp; Consult</th>
<th>Inform, Consult &amp; Involve</th>
<th>Inform, Consult, Involve &amp; Collaborate</th>
</tr>
</thead>
</table>

Increasing levels of engagement

Increasing number of projects
Levels of Engagement

**Inform**
We’ll keep you informed on project updates, changes, regulatory constraints and progress through the lifecycle of the project.

- Early, often and ongoing communication

**Inform & Consult**
We’ll keep you informed, listen to your views and provide feedback on how the input influenced the project and/or decisions.

- 1-2+ engagement opportunities
- Typically after concepts or options are developed
- Listening sessions
- Public hearings
- Walking tours & field visits
- Listening sessions, civic association meetings, small meetings with stakeholders
- Online feedback – comment forms, email boxes, surveys/polls, CiviComment

*plus the strategies listed above in previous levels.*

**Inform, Consult & Involve**
We’ll share how concerns/views were reflected in analyses and/or solutions and designs developed; we’ll share how inputs influenced the final decision or project.

- 3-5+ engagement opportunities
- Over a defined period of time
- Typically prior to design development
- Open houses
- Roundtables, focus groups, workshops
- Online dialogues
- Input from Commissions/Advisory Groups
- Interactive forums (in person and/or online)
- World cafes

*plus the strategies listed above in previous levels.*

**Inform, Consult, Involve & Collaborate**
We will seek community input in partnership with commissions, advisory and stakeholder groups throughout the lifecycle of the project.

- Use multiple engagement opportunities that build on each other
- Charrettes
- Working groups
- Citizen-led engagement and partnerships

*plus the strategies listed above in previous levels.*

* Levels of engagement are adapted from the IAP2 spectrum published by International Association of Public Participation; see www.iap2.org.
Create and Implement Engagement & Communication Strategies

As you create your strategy:

- Outline your public engagement goals and the objectives for each one. Think about the higher vision and purpose of the engagement strategy.
- Align the appropriate tools with your engagement objectives.
- List your target audience, necessary resources, location and timeline details -- and identify the lead contact for each item.
- Ensure information about the project and related engagement opportunities are available to stakeholders.
- Develop and use outreach messages, or your “elevator speech”, that summarizes the project in a few brief sentences.

<table>
<thead>
<tr>
<th>Engagement Strategy</th>
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<tbody>
<tr>
<td>Objective</td>
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</table>

**Public Engagement Goals and Objectives**

- **Goal:** in 2-3 sentences, provide key goal for what the engagement process will yield for this project.
- **Objectives:** list elements for engagement opportunities and outcomes.

**Public Engagement Goal**

Execute an energetic, inclusive planning & design process that capitalizes on broad input from every group that will use, enjoy & benefit from Arlington Central Park.

<table>
<thead>
<tr>
<th>Engagement Objective 1</th>
<th>Engagement Objective 2</th>
<th>Engagement Objective 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Use new &amp; creative methods to collect design ideas &amp; input.</td>
<td>&quot;Go to them&quot;; proactively seek at potential groups/park users. Invite participation.</td>
<td>Maintain engagement: follow through &amp; close feedback loops with process participants.</td>
</tr>
</tbody>
</table>
As you **implement** your strategy:

- Convene staff involved to review roles, responsibilities and run of show for each engagement opportunity.
- Periodically assess your communication strategy and make modifications as you go to ensure that you are reaching all interested stakeholders.
- Establish a system to document all of the input you receive to help you in the next step.
Review and analyze all of the input you’ve received and summarize your findings.

It will be more important that the decision makers receive an analysis of the input received through public engagement. It is especially important for them to see all the perspectives and views that have surfaced throughout the process.

Complete Project Closeout & After Action Review

At the conclusion of a project, include notifying stakeholders of the status or outcome in your project closeout tasks. Ensure all public information locations (websites, signage, etc.) are updated to reflect the final information.

Take time to conduct an after action review. This type of review offers an opportunity to reflect on what went well and explore areas for improvement. Lessons learned can be used to adjust engagement strategies for future projects.

### STEP 6: Complete Project Closeout & After Action Review

Each quarter (as applicable), teams are encouraged to review the engagement processes for Key Projects, and include internal and external stakeholders in this process.

**Date:**

**Project Status:**

- What aspects of the Engagement process went well?
- What were key concerns/challenges that surfaced about the engagement process?
- Were there aspects of the engagement process that could have been done differently? Or better?
- How was stakeholder input provided during the public engagement process considered in the development of the final product?
- What suggestions do you have for future engagements for ‘similar’ projects?